AMLP FORUM

19th Annual UK AML & Financial Crime Seminar

24/25 April 2023

Seminar Masterclass

The masterclasses **(each STREAM bookable separately)** will include a mix of presentations, case studies and discussions.

STREAM A – Tuesday, 25 April 2023, 09:00 – 12:30

08:30 *Registration*

09:00 *Chair's Welcome Address*

09:10 **Mapping your exposure against the new ML, fraud & financial crime typologies, increased POCA threshold & lessons learnt from latest enforcement actions**

- Incorporating latest Guidelines on emerging ML & fraud typologies – addressing the new vulnerabilities
- New proposed changes to the UK Economic Crime Bill including 'failure to prevent fraud' offence
- Implementing new NCA Guidance on submitting SARs
- Implication of the new SARs Online Form
- Lessons learnt from recent enforcement actions – strengthening your defences & internal culture
- Case studies on the new typologies, effective practices to mitigate emerging financial crime risks and SARs Reporting

Cynthia Stone, Global Head of External Investigative Reporting, **HSBC**
Mario Menz, Head of Compliance and MLRO, **Ghana International Bank**
Susannah Cogman, Partner, **Herbert Smith Freehills**

10:30 *Coffee/Tea break*

11:00 **Risk assessment & appetite refresh – moving towards a more sophisticated approach**

- Incorporating the new financial crime & proliferation financing risks as well as other regulatory priorities
- The new risk factors & measures to consider
- Streamlining your risk assessment process across the FinCrime disciplines
- Automating the risk assessment & integrating AML data for the current view on risks for improved efficiency & allocation of resources
- Risk mitigation – identifying synergy and efficiency gains through data and technology
- Case studies – evolving best practice compliance

Anu Ratan, Global FCC Strategic Initiatives Lead, **Bank of New York Mellon**
Alex Brenig-Jones, Head of Financial Crime Insights, **NatWest Group**
Rob Cutler, Chief Growth Officer, **EFI** & previously Global Head of CDD/KYC at **ING**

12:30 *Chair's Closing Remarks & End of Stream A*

STREAM B – Tuesday, 25 April 2023, 13:30 – 17:00

12:30 *Registration & Lunch*

13:30 *Chair's Welcome Address*

13:40 **Economic sanctions & export control - ensuring compliance with rapidly changing restrictions**

- Addressing current geopolitical, sanctions & export control risks related to Russia & Belarus
- Implementing OFSI Guidance on ownership & control
- Balancing your response to neutral countries e.g., China, Turkey & UAE among others
- Tackling sanctions evasion in complex networks of front companies, shipping, supply chain & 3rd parties
- Reporting to OFSI, NCA or the FCA – interlink to AML
- Case studies on current risks & useful approaches to overcoming sanctions compliance challenges

Mike Casey, Partner, **Wilson Sonsini Goodrich & Rosati** & previously at the **U.S. Federal District Court**
Chrisol Correia, Global Head, Financial Crime Risk Management, **Facctum**
David Robertson, Head of Financial Crime, **Beazley**
Richard Dunmall, EMEA Head of Sanctions, **Sumitomo Mitsui Banking Corporation**
Suzie Ogilvie, Global Head of Financial Crime and Sanctions, **Freshfields**

15:00 *Coffee/Tea break*

15:30 **Trade & proliferation finance - managing increasing regulatory, financial crime & sanctions risks**

- Latest developments including impact of the import/export control & proliferation financing strategies on your compliance programme
- Overcoming the challenges of operationalising the oil price cap – practical considerations
- Russia's use of dark fleets & expectations of the industry – your key mitigation measures
- Proactive approach to risk assessment, KYC, beneficial ownership & counterparties
- Case studies on current challenges, risk indicators, red flags to watch for and practical solutions

Andrew Caines, MLRO, **DNB Bank London Branch**
Zia Ullah, Partner, Head of Corporate Crime and Investigations, **Eversheds Sutherland**
Marcus Peckham, Senior Advisor Trade Finance and Lending Business Line AFC, **Deutsche Bank**

16:55 *Chair's Closing Remarks & End of Masterclasses*

19th Annual UK Financial Crime Seminar

About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, and aerospace and defence sectors.

For more information, please visit our website at: **www.amlpforum.com**.

Seminar Overview

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering and other financial crime professionals to get their key updates and answers from relevant high-level experts in a one-day session.

Format

The Seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD Points

A Certificate of attendance will be provided on request to claim CPD points 7 for the Main Seminar and 3.5 for each Masterclass Stream.

Main Seminar

Held hybrid - Monday 24th of April 2023, 09:00 – 17:00

- **Member fee:** GBP £498.00 +VAT
- **Non-member fee:** GBP £598.00 +VAT

Your delegate place includes presentations by our leading experts, CPD Certificate, breakfast, lunch, refreshments and invitation to the Drinks Reception.

Masterclass

STREAM A – Held Hybrid: Tuesday 25th of April 2023, 09:00 – 12:30
09:00 – 10:30: **Countering the new ML & financial crime threats & lessons learnt from latest enforcement actions**
11:00 – 12:30: **Risk assessment refresh - addressing new financial crime threats & proliferation financing**

- **Member fee:** GBP £248.00 +VAT
- **Non-member fee:** GBP £288.00 +VAT

STREAM B – Held Hybrid: Tuesday 25th of April 2023,13:30 – 17:00
13:30 – 15:00: **Economic sanctions – addressing the new dynamic challenges on both sides of the Atlantic**
15:30 – 17:00: **Trade & proliferation finance – managing increasing regulatory, sanctions & ML risks**

- **Member fee:** GBP £248.00 +VAT
- **Non-member fee:** GBP £288.00 +VAT

Your delegate place includes presentations, working material handouts, CPD certificates, breakfast, lunch and refreshments.

Discount offers**

- **Member fee applies for Government and Charity delegates.**
- **Bring 2 or more delegates** - receive a 10% discount.
- **Book both the Seminar and Masterclass** - receive a 10% discount.

****Discount offers cannot be combined.**

Registration

For more information and to book your place, please email Diane and the Team at **events@amlpforum.com**. Alternatively, you can browse AMLP website among others - view Membership benefits on: **www.amlpforum.com**.

We look forward to welcoming you on the day!