



Pre-Seminar Masterclass

The masterclasses (each stream bookable separately) will include a mix of presentations, case studies and discussions.

STREAM A – Wednesday, 09:00 – 12:30, 27 April 2022

08:30 Registration

09:00 Chair's Welcome Address

09:10 **Mapping your exposure against new ML & financial crime threats – a focus on KYC and determining beneficial ownership & source of wealth/funds**

- Emerging ML & financial crime – new vulnerabilities
- Lessons learnt from recent enforcement actions
- EBA revised guidelines on ML/TF risk factors
- Spotlight on transparency of beneficial ownership & source of wealth/funds – rising standards
- Driving an effective RBA to verifying beneficial ownership home & offshore – how much is enough?
- Effective practices to detect and mitigate the risk from misuse of legal entities
- Identifying real beneficial owners behind crypto assets
- Case studies on best practices to mitigating your risks

Alexandra Will, Director, Global Investigations & Compliance, **Guidehouse**

Christopher Edwards, Partner, **Mourant Ozannes Guernsey**

Roland Guennou, Director, Policy Implementation, **Standard Chartered Bank**

Iqbal Azad, Director - Intelligence & Investigations, **Coinbase** & previously at the **Metropolitan Police**

10:30 Coffee/Tea break

11:00 **Tax evasion, avoidance & ML – best compliance and integrity risk management**

- UK & international measures to curb tax evasion
- HMRC - increasing use of powers at home & abroad
- The 'Pandora Papers' – shining a light on offshore
- Risk factors - e.g., Pillar 2 & substance requirements
- The reputational risks of tax evasion vs avoidance – where is the line?
- Understanding the motive of cross-border & offshore business – the good and the bad
- Effectively integrating tax compliance into your AML programme – evolving best practice
- Case studies – a look at key common industry compliance and integrity challenges & solutions

Andy Caines, MLRO, CEMEA, **DNB Bank ASA UK**

Jason Collins, Head, Tax Audits & Controversy, **DLA Piper**

Jayne Newton, Director, Regulatory Expertise & Tax Evasion, **Efficient Frontiers International** and previously Head of Operational & Employee Taxes at **Lloyds Banking**

12:30 Chair's Closing Remarks & End of Stream A

STREAM B – Wednesday, 13:30 – 17:00, 27 April 2022

13:00 Registration

13:30 Chair's Welcome Address

13:40 **Economic & trade sanctions post-Brexit – addressing the new dynamic geopolitical challenges & restrictive measures against Russia**

- Current economic & trade sanctions highlights
- Executing heightened sanctions against Russia & Belarus – overcoming the compliance complexities
- New 'Iran Nuclear Deal' – key considerations
- Balancing EU blocking regulation with UK protection of trading interest
- New Chinese sanctions & countermeasures
- Building up your policies, risk assessment, due diligence & screening – evolving best practices
- Sanctions, export control & enforcement trends
- Case studies on useful approaches to overcoming current sanctions compliance challenges

Chris Po-Ba, Senior Manager, Financial Crime (Sanctions), **Lloyd's of London**

Mike Casey, Partner, **Wilson Sonsini Goodrich & Rosati**

Susannah Cogman, Partner, **Herbert Smith Freehills**

Vikash Patel, Director, Head of Sanctions Policy and Regulatory, Group Financial Crime, **HSBC Group**

15:00 Coffee/Tea break

15:30 **Mitigating the ML & sanctions risks in trade & proliferation finance – raising the bar**

- Latest developments including FATF Rec., FCA Dear CEO letter & JMLSG revised guidance on trade finance
- Current risk indicators and red flags to watch for
- Effective assessment of risks with a holistic approach to financial crimes: ML/TF, sanctions evasion & fraud
- EDD/KYC best practice – the additional steps required
- Impact of the latest spotlight on beneficial ownership
- When to conduct due diligence on third parties?
- Crypto in the context of trade - mitigating the ML risks
- Digitalising trade finance – evolving trends
- Case study on current challenges and practical solutions

Clare Wilson, Head of Financial Crime Risk and MLRO, **BACB** & previously Director, **HSBC MLRO Office**

Leonard Phillip, Head of Financial Crime Europe & UK and MLRO, **Bank ABC**

Kaushika Ruwangalla, Global Head of Financial Crime Compliance, Trade & Receivables Finance, **HSBC**

Zia Ullah, Partner, Head of Corporate Crime and Investigations, **Eversheds Sutherland**

16:55 Chair's Closing Remarks & End of Masterclasses

Pre-Seminar Masterclass

About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, aerospace and defence sectors.

For more information, please visit our website on: www.amlpforum.com

Seminar Overview

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering and other financial crime professionals to source their key updates and answers from relevant high-level experts in a one-day session.

Format

The Seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD Points

A Certificate of attendance will be provided on request to claim CPD points 7 for the Main Seminar and 3.5 for each Masterclass Stream.

Main Seminar

Held in hybrid format - delegates are able to attend in person or virtually.

Thursday, 28 April 2022, 09:00 – 17:00

- **Member Fee:** £398.00 +VAT
- **Non-Member Fee:** £528.00 +VAT

Pre-Seminar Masterclass

Held in hybrid format - delegates are able to attend in person or virtually.

STREAM A – Wednesday, 27 April 2022, 09:00 - 12:30

- 09:00 – 10:30: **Mapping your exposure against the new ML, fraud & financial crime risks – a focus on beneficial ownership**
- 11:00 – 12:30: **Tax evasion, avoidance & ML – best compliance and integrity risk management**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

STREAM B – Wednesday, 27 April 2022, 13:30 - 17:00

- 13:30 – 15:00: **Economic sanctions post-Brexit – tackling the new dynamic geopolitical challenges**
- 15:30 – 17:00: **Mitigating the ML & sanctions risks in trade & proliferation finance – raising the bar**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

Discount offers**

- Member fee applies to those who have a paid membership and to academics, government, law enforcement & charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the Seminar and Masterclass – receive a 10% discount
- ICA, ICM, ACCA, WMBA members receive a 10% discount (non-members only)

****Discount offers cannot be combined e.g. if you are eligible for a member discounted fee, you cannot claim additional discounts.**

Registration

For more information and to book your place, please email Diane and the team at events@amlpforum.com

Alternatively, you can browse AMLP website to among others view membership benefits on: www.amlpforum.com

We look forward to welcoming you on the day!