



17th Annual UK AML & Financial Crime Seminar

17/18 May 2021



CPD points

7.5 for Main Seminar and 3.5 for each Masterclass Streams – A Certificate of Attendance is provided.

Who should attend?

- All parts of the financial sector
- Accountants
- Gambling sector
- Government
- High value dealers
- Insurance sector
- Law enforcement
- Law firms
- Money service businesses
- Real estate agencies
- Regulators
- TCSPs

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17th Annual UK AML & Financial Crime Seminar

Essential updates from the Home Office, HM Treasury, JMLSG, regulators, law enforcement and leading cross-industry experts – all you need to know in 2021!

17th – 18th of May 2021

Held virtually - over two afternoons.

Speakers include:

Alison Clew, until recently Global Head of AML Compliance, Citigroup
Carol Smit, Executive Secretary, JMLSG
Dr. Anna Slodka-Turner, Global Leader, Risk and Compliance Practice, Evalueserve
Dr. Liliya Gelemerova, Head of UK Financial Security, Crédit Agricole CIB, UK
Emma Hardaker, Head of Financial Crime & Compliance, Lloyd's of London
Emma Luxton, Head of Proceeds of Crime and International Assistance, SFO
Emily Bayley, Deputy Director, Sanctions and Illicit Finance, HM Treasury
Freya Page, OFSI Head of Guidance & Communication, HM Treasury
Gareth Dunn, Regional Head AML Investigations & Reporting Europe & UK Nominated Officer, HSBC
Jason Chess, Partner, Betting & Gaming at Wiggin LLP
James Holian, Director, Financial Crime and Controls Commercial and Private Banking, NatWest
James Macias, Senior Crime & Investigations Manager, Selfridges
James Siswick, Partner, Financial Services Advisory & Compliance, Guidehouse
Jenping Myron Chiu, Head of EU Transition & Sanctions Policy, Export Control Joint Unit, DIT
Jocelyn Norval, Global Screening Pillar Lead, ING Bank
Julie Copeland, Partner, StoneTurn
Lee Hale, Global Head of AML/CTF, AFC and Sanctions, Deutsche bank
Lisa Chitty, Director Compliance & Risk, Travelex
Martin Cox, Head of engagement and risk UKFIU NCA
Mark Williams, Senior Manager in Operations, NCA
Mark Boyle, Policy Manager, AML, Solicitors Regulation Authority
Mark Hayward, Chief Policy Advisor, NAEA
Neil Tyson, Director, Rightway Compliance, Fraud Resource Management Centre
Nick Mays, UK Head of Law Enforcement Outreach & Investigations, Western Union
Nicola Kirby, Senior Compliance & Ethics Attorney, Latham & Watkins
Owen Rowland, Deputy Director & Head of Economic Crime Unit, Home Office
Paul Buck, Chief Executive Officer, EPIC Risk Management
Pete Maydon, Assistant Director, Strategy AML Supervision, HMRC
Richard Ferrell, Labour Exploitation & Illicit Finances, Modern Slavery & Human Trafficking Unit, NCA
Ryan Adams, Head of Financial Crime Intelligence Unit, Aviva Group
Stuart Connick, Head of Sanctions Operations and Outreach Team, UK Foreign Commonwealth and Development Office (FCDO)
Ted Datta, Director Compliance Solutions, BvD
Vince O'Brien, Head of Strategy & Change for the UK FIU & Head of the Proceeds of Crime Centre, NCA

Main Seminar Day 1, 17 May 2021, 13:20 – 17:00

- 13:00** *Registration*
- 13:20** *Chair's Welcome Address*
- 13:30** **UK's Economic Crime Plan & Brexit – the strategic priorities 2021**
Owen Rowland, Deputy Director & Head of the Economic Crime Unit, Home Office
Moderator: Adriana van der Goes-Juric, Chair, AMLP Forum
- 14:00** **NCA on the new threats, SARs reform programme & tech advances**
Vince O'Brien, Head of Strategy & Change for the UK FIU & Head of the Proceeds of Crime Centre, NCA
Moderator: Adriana van der Goes-Juric, Chair, AMLP Forum
- 14:30** **HMT AML/CTF update – direction of travel for UK's legislative development**
Emily Bayley, Deputy Director, Sanctions and Illicit Finance, HM Treasury
Moderator: Adriana van der Goes-Juric, Chair, AMLP Forum
- 15:00** *Tea/Coffee*
- 15:15** **Breakout session A - New AML & financial crime challenges and key areas of focus 2021 – building up an efficient & effective programme**
Carol Smit, Executive Secretary, JMLSG
David Skade, Associate Managing Director, Exiger
Julie Copeland, Partner, StoneTurn U.S.
Moderator: Peter Green, AMLP Advisor and previously BNP Paribas Group Head of AML
- 15:15** **Breakout session B – HMRC supervision and enforcement agenda 2021**
Pete Maydon, Assistant Director, Strategy AML Supervision, HMRC
Lisa Chitty, Director Compliance & Risk, Travelex
Mark Hayward, Chief Policy Advisor, NAEA
Moderator: Mark Ashdown, Head of Risk and Compliance, MFS Africa and previously MoneyGram Former Head of Compliance Europe
- 15:15** **Breakout session C – Gambling hotspot: Rising to the challenges of new regulation – a focus on the Gambling Act review, player protection and AML**
Paul Buck, CEO, EPIC Risk Management
Jason Chess, Partner, Betting & Gaming, Wiggin LLP
Moderator: Neil Tyson, Director, Rightway Compliance & Fraud Management Resource Centre
- 16:00** **The evolving role of machine learning in financial crime – an inside track**
Alison Clew, until recently Global Head of Anti-Money Laundering Compliance, Citigroup
Daniel Bastin, Chief Revenue Officer, Ayasdi
Jocelyn Norval, Global Screening Pillar Lead, ING Bank
Moderator: James Siswick, Partner, Financial Services Advisory & Compliance, Guidehouse
- 16:50** *Chair's Closing Remarks & End of Day 1*

Main Seminar Day 2, 18 May 2021, 13:20 – 17:00

- 13:00** *Registration*
- 13:20** *Chair's Welcome Address*
- 13:30** **FCDO, OFSI & ECJU on UK financial & trade sanctions strategies 2021 – the main changes**
Freya Page, OFSI Head of Guidance, HM Treasury
Jenping Myron Chiu, Head of EU Transition & Sanctions Policy, Export Control Joint Unit, DIT
Stuart Connick, Head of Sanctions Operations and Outreach Team, FCDO
Moderator: Susannah Cogman, Partner, HSF
- 14:15** **Breakout session A - CDD/KYC evolution - a focus on digital onboarding, perpetual KYC & higher risks**
James Holian, Director, Financial Crime and Controls Commercial and Private Banking, NatWest
Lee Hale, Global Head of AML, KYC, Sanctions & CIB AFC, Deutsche Bank
Moderator: Vesna McCreery, Founder & CEO, Ansev Solutions, former Group Head of Financial Crime at RBS
- 14:15** **Breakout session B – The three lines of defence and data governance for effective risk management**
Emma Hardaker, Head of Financial Crime and Compliance, Lloyd's of London
Dr. Anna Slodka-Turner, Global Leader, Risk and Compliance Practice, Evaluateserve
Moderator: Peter Green, AMLP Advisor and previously BNP Paribas Group Head of AML
- 14:15** **Breakout session C – New UK AML guidance for the legal sector – rising compliance standards**
Nicola Kirby, Senior Compliance & Ethics Attorney, Latham & Watkins
Mark Boyle, Policy Manager, AML, SRA
Moderator: Che Odium, Senior In-house Counsel, International Operations, Eversheds Sutherland
- 15:00** *Tea/Coffee*
- 15:15** **The Modern Slavery Act, human right sanctions & interaction with POCA – risks & opportunities**
Dr Liliya Gelemerova, Head of UK Financial Security, Crédit Agricole CIB, UK
Michael Thorne, Senior Associate, Willkie Farr
Nick Mays, UK Head of Law Enforcement Outreach & Investigations, Western Union
Richard Ferrell, Labour Exploitation & Illicit Finances, Modern Slavery & Human Trafficking Unit, NCA
Ted Datta, Director Compliance Solutions, BvD
Moderator: John Binns, Partner, BCL Solicitors
- 16:00** **Law enforcement update – developing an effective response to the new threats**
Emma Luxton, Head of Proceeds of Crime and International Assistance, SFO
Ryan Adams, Head of Financial Crime Intelligence Unit, Aviva Group
Gareth Dunn, Regional Head AML Investigations & Reporting Europe & UK Nominated Officer, HSBC
Martin Cox, Head of engagement and risk UKFIU NCA
Mark Williams, Senior Manager in Operations, NCA
Moderator: Peter Burrell, Partner, Willkie Farr
- 16:55** *Chair's Closing Remarks & End of Seminar*

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About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, aerospace and defence sectors.

For more information, please visit our website on: www.amlpforum.com.

Seminar Overview

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering and other financial crime professionals to get their key updates and answers from relevant high-level experts in a one-day session.

Format

The Seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD Points

A Certificate of attendance will be provided on request to claim CPD points 7.5 for the Main Seminar and 3.5 for each Masterclass Stream.

Main Seminar

Held virtually over two afternoons.

Day 1: Monday, 17th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Day 2: Tuesday, 18th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Pre-Seminar Masterclass

Held virtually over two afternoons.

STREAM A – Monday, 10th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Mapping your exposure against the new ML & financial crime risks – key learning points**
- 15:30 – 17:00: **Assessing and onboarding higher risks account transactions and cryptos**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

STREAM B – Tuesday, 11th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Sanctions: UK/EU post Brexit, US post-Trump – addressing the new compliance challenges**
- 15:30 – 17:00: **Efficacy of sanctions screening and ISO 20022 implementation – best practices**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

Discount offers**

- Member fee applies for Academic, Government and Charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the conference and masterclass – receive a 10% discount

**Discount offers cannot be combined.

Registration

For more information and to book your place, please email Sydney and the team at events@amlpforum.com. Alternatively, you can browse our new AMLP website on: www.amlpforum.com.