



17th Annual UK AML & Financial Crime Seminar

17/18 May 2021



Pre-Seminar Masterclass

The masterclasses (each stream bookable separately) will include a mix of presentations, case studies and discussions.

STREAM A – Monday, 10 May 2021

13:15 *Registration*

13:30 *Chair's Welcome Address*

13:40 **Mapping your exposure against the new ML & financial crime risks - key learning points for your compliance programme**

- Incorporating latest UK National Risk Assessment of ML/TF – the new vulnerabilities
- HMT Advisory Notice on high-risk 3rd countries & controls
- EBA final guidelines on ML/TF risk factors
- Lessons from recent enforcement cases
- New ML typologies including for art markets
- Tying your risk assessment to controls & MI
- Case studies on assessing, prioritising, and mitigating risks

Jason Holt, Managing Director, EMEA Regional Lead, Exiger

Caitlin Brooks, Director, Financial Crime Advisory, NatWest Markets

Celine Bernhardt, Global Financial Crime Intelligence Unit, Deutsche Bank

Rakhi Talwar, Senior Compliance Specialist & previously Global Head of Compliance, Christie's

15:00 *Coffee/Tea break*

15:30 **Assessing and onboarding higher risk account transactions – evolving best practice**

- High risk factors – latest regulatory focus
- The drive for transparency of beneficial ownership, controllers & source of wealth/funds
- CDD/EDD and digital onboarding trends
- Building a crypto compliance CDD 'ecosystem'
- From 'unusual' to 'suspicious' – red flags
- A review of current business undertaken through offshore & onshore jurisdictions
- Case studies on EDD best practices, and analysis of ownership patterns to reveal powerful, "hidden" controlling individuals

Arun Srivastava, Partner, Paul Hastings (Europe)

Malcolm Wright, Chief Compliance Officer, 100x Group & Chair of Global Digital Finance

Richard Snookes, Chief Compliance Officer, Sberbank CIB (UK)

16:55 *Chair's Closing Remarks & End of Day 1*

STREAM B – Tuesday, 11 May 2021

13:15 *Registration*

13:30 *Chair's Welcome Address*

13:40 **Sanctions: UK/EU post Brexit, US post-Trump, China – addressing the new dynamic challenges**

- Balancing US, EU, UK, and China sanctions
- Implementing the new global human right sanctions
- Ensuring humanitarian and permissible payments
- Managing effectively the blocking regulation & secondary sanctions
- Evolving risk assessment & due diligence standards
- Takeaways from latest enforcement actions
- Expert insights on future sanctions and enforcement trends
- Case studies on common industry issues, useful approaches to overcoming the sanctions compliance challenges

Owen Kennedy, MD, Regional Head of Financial Crimes & MLRO, Bank of America

Sarah Paul, Partner at Eversheds Sutherland, former Federal Prosecutor, SDNY

Zia Ullah, Partner, Head of Corporate Crime and Investigations, Eversheds Sutherland LLP

15:00 *Coffee/Tea break*

15:30 **Efficacy of sanctions screening and ISO 20022 implementation – compliance best practice**

- Latest developments and regulatory expectations
- Meeting ISO 20022 adoption deadline 2021
- Overcoming the complexity of necessary changes
- Harnessing the new data model and efficiencies
- Improving sanctions screening & systems calibration
- Effective management of real-time payments
- How technology can serve as a tool in this area
- Case studies on common industry challenges, useful approaches & solutions

James Siswick, Partner, Financial Services Advisory & Compliance, Guidehouse

Nicolas Stuckens, Head of sanctions compliance services, SWIFT

Veronica Gomez Martin, Global Head of Financial Crime Payment Screening, Barclays, previously Global Head of Sanctions at Barclays Wealth

16:55 *Chair's Closing Remarks & End of Masterclass*

Pre-Seminar Masterclass

About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, aerospace and defence sectors.

For more information, please visit our website on: www.amlpforum.com.

Seminar Overview

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering and other financial crime professionals to get their key updates and answers from relevant high-level experts in a one-day session.

Format

The Seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD Points

A Certificate of attendance will be provided on request to claim CPD points 7.5 for the Main Seminar and 3.5 for each Masterclass Stream.

Main Seminar

Held virtually over two afternoons.

Day 1: Monday, 17th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Day 2: Tuesday, 18th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Pre-Seminar Masterclass

Held virtually over two afternoons.

STREAM A – Monday, 10th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Mapping your exposure against the new ML & financial crime risks – key learning points**
- 15:30 – 17:00: **Assessing and onboarding higher risks account transactions and cryptos**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

STREAM B – Tuesday, 11th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Sanctions: UK/EU post Brexit, US post-Trump – addressing the new compliance challenges**
- 15:30 – 17:00: **Efficacy of sanctions screening and ISO 20022 implementation – best practices**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

Discount offers**

- Member fee applies for Academic, Government and Charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the conference and masterclass – receive a 10% discount

**Discount offers cannot be combined.

Registration

For more information and to book your place, please email Sydney and the team at events@amlpforum.com. Alternatively, you can browse our new AMLP website on: www.amlpforum.com.