



17th Annual UK AML & Financial Crime Seminar

17/18 May 2021



CPD points

7.5 for Main Seminar and 3.5 for each Masterclass Streams – A Certificate of Attendance is provided.

Who should attend?

- All parts of the financial sector
- Accountants
- Gambling sector
- Government
- High value dealers
- Insurance sector
- Law enforcement
- Law firms
- Money service businesses
- Real estate agencies
- Regulators
- TCSPs

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17th Annual UK AML & Financial Crime Seminar

Essential updates from the Home Office, HM Treasury, JMLSG, regulators, law enforcement and leading cross-industry experts – all you need to know in 2021!

17th – 18th of May 2021

Held virtually - over two afternoons.

Speakers include:

Alison Clew, until recently Global Head of AML Compliance, Citigroup
Brian Dilley, Group Director, Fraud and FC Prevention, Lloyds Banking
Carol Smit, Executive Secretary, JMLSG
Debbie Price, Deputy Director, NECC, NCA
Dr. Anna Slodka-Turner, Global LoB Leader, Risk and Compliance, Evalueserve
Dr. Liliya Gelemerova, Head of Financial Security, Corporate & Investment Bank, Crédit Agricole UK
Emma Hardaker, Head of Financial Crime & Compliance, Lloyd's of London
Emma Luxton, Head of Proceeds of Crime and International Assistance, SFO
Emily Bayley, Deputy Director, Sanctions and Illicit Finance, HM Treasury
Freya Page, OFSI Head of Guidance & Communication, HM Treasury
Gareth Dunn, Regional Head AML Investigations & Reporting Europe & UK Nominated Officer, HSBC
Jason Chess, Partner, Betting & Gaming at Wiggin LLP
James Holian, Director, Financial Crime and Controls Commercial and Private Banking, NatWest
James Macias, Senior Crime & Investigations Manager, Selfridges
James Siswick, Partner, Financial Services Advisory & Compliance, Guidehouse
Jenping Myron Chiu, Head of EU Transition & Sanctions Policy, Export Control Joint Unit, DIT
Jocelyn Norval, Global Screening Pillar Lead, ING Bank
Julie Copeland, Partner, StoneTurn
Lee Hale, Global Head of AML/CTF, AFC and Sanctions, Deutsche bank
Lisa Chitty, Director Compliance & Risk, Travelex
Martin Cox, Head of Engagement, Communications and Risk Management, NCA
Mark Boyle, Policy Manager, AML, Solicitors Regulation Authority
Mark Hayward, Chief Policy Advisor, NAEA
Neil Tyson, Director, Rightway Compliance, Fraud Resource Management Centre
Nick Mays, UK Head of Law Enforcement Outreach & Investigations, Western Union
Nicola Kirby, Senior Compliance & Ethics Attorney, Latham & Watkins
Owen Rowland, Deputy Director & Head of Economic Crime Unit, Home Office
Paul Buck, Chief Executive Officer, EPIC Risk Management
Pete Maydon, Assistant Director, Strategy AML Supervision, HMRC
Richard Ferrell, Labour Exploitation & Illicit Finances, Modern Slavery & Human Trafficking Unit, NCA
Ryan Adams, Head of Financial Crime Intelligence Unit, Aviva Group
Stuart Connick, Head of Sanctions Operations and Outreach Team, UK Foreign Commonwealth and Development Office (FCDO)
Ted Datta, Director Compliance Solutions, BvD

Pre-Seminar Masterclass (bookable separately)

STREAM A - Monday, 10 May 2021, 13:30 – 17:00

13:30 – 15:00 Mapping your exposure against the new ML & financial crime risks – key learning points

15:30 – 17:00 Assessing and onboarding higher risk account transactions and cryptos

STREAM B - Tuesday, 11 May 2021, 13:30 – 17:00

13:30 – 15:00 Sanctions: UK/EU post Brexit, US post-Trump – addressing the new compliance challenges

15:30 – 17:00 Efficacy of sanctions screening and ISO 20022 implementation – best practices

Main Seminar Day 1, 17 May 2021, 13:30 – 17:00

13:00 Registration

13:20 Chair's Welcome Address

13:30 UK's Economic Crime Plan & Brexit – the strategic priorities 2021

Owen Rowland, Deputy Director & Head of the Economic Crime Unit, Home Office

14:00 NCA on the new threats, SARs reform programme & tech advances

Debbie Price, Deputy Director, NECC, NCA

14:30 HMT AML/CTF update – direction of travel for UK's legislative development

Emily Bayley, Deputy Director, Sanctions and Illicit Finance, HM Treasury

15:00 Tea/Coffee

15:15 New financial crime challenges and key areas of focus 2021 – building up an efficient & effective programme

Carol Smit, Executive Secretary, JMLSG
Brian Dilley, Group Director, Fraud and Financial Crime Prevention, Lloyds Banking
Julie Copeland, Partner, StoneTurn

Moderator: **Geraldine Lawlor**, Global Head of Financial Crime, KPMG & previously Barclays Global Head of FC

15:15 Breakout session – HMRC supervision and enforcement agenda 2021

Pete Maydon, Assistant Director, Strategy AML Supervision, HMRC
Lisa Chitty, Director Compliance & Risk, Travelex
Mark Hayward, Chief Policy Advisor, NAEA
James Macias, Senior Crime & Investigations Manager, Selfridges

15:15 Breakout session – Gambling hotspot: Rising to the challenges of new regulation – a focus on the Gambling Act review, player protection and AML

Paul Buck, CEO, EPIC Risk Management
Jason Chess, Partner, Betting & Gaming, Wiggin LLP
Neil Tyson, Director, Rightway Compliance

16:00 The evolving role of machine learning in financial crime – an inside track

Alison Clew, until recently Global Head of Anti-Money Laundering Compliance, Citigroup
Daniel Bastin, Chief Revenue Officer, Ayasdi
James Siswick, Partner, Financial Services Advisory & Compliance, Guidehouse
Jocelyn Norval, Global Screening Pillar Lead, ING Bank

16:55 Chair's Closing Remarks & End of Day 1

Main Seminar Day 2, 18 May 2021, 13:30 – 17:00

13:00 Registration

13:20 Chair's Welcome Address

13:30 FCDO, OFSI & ECJU on UK financial & trade sanctions strategies 2021 – the main changes

Freya Page, OFSI Head of Guidance, HM Treasury
Jenping Myron Chiu, Head of EU Transition & Sanctions Policy, Export Control Joint Unit, DIT
Stuart Connick, Head of Sanctions Operations and Outreach Team, FCDO

14:15 CDD/KYC evolution - a focus on digital onboarding, perpetual KYC & higher risks

James Holian, Director, Financial Crime and Controls Commercial and Private Banking, NatWest
Lee Hale, Global Head of AML, KYC, Sanctions & CIB AFC, Deutsche Bank

14:15 Breakout session – The three lines of defence and data governance for effective risk management

Emma Hardaker, Head of Financial Crime and Compliance, Lloyd's of London
Dr. Anna Slodka-Turner, Global LoB Leader, Risk and Compliance, Evalueserve

14:15 Breakout session – New UK AML guidance for the legal sector – rising compliance standards

Nicola Kirby, Senior Compliance & Ethics Attorney, Latham & Watkins
Mark Boyle, Policy Lead, AML, SRA

15:00 Tea/Coffee

15:20 The Modern Slavery Act, human right sanctions & interaction with POCA – risks & opportunities

Dr Liliya Gelemerova, Head of Financial Security, Corporate & Investment Bank, Crédit Agricole UK
Michael Thorne, Senior Associate, Willkie Farr
Nick Mays, UK Head of Law Enforcement Outreach & Investigations, Western Union
Richard Ferrell, Labour Exploitation & Illicit Finances, Modern Slavery & Human Trafficking Unit, NCA
Ted Datta, Director Compliance Solutions, BvD

16:00 Law enforcement update – developing an effective response to the new threats

Emma Luxton, Head of Proceeds of Crime and International Assistance, SFO
Ryan Adams, Head of Financial Crime Intelligence Unit, Aviva Group
Gareth Dunn, Regional Head AML Investigations & Reporting Europe & UK Nominated Officer, HSBC

16:55 Chair's Closing Remarks & End of Seminar

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About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, aerospace and defence sectors.

For more information, please visit our website on: www.amlpforum.com.

Seminar Overview

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering and other financial crime professionals to get their key updates and answers from relevant high-level experts in a one-day session.

Format

The Seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD Points

A Certificate of attendance will be provided on request to claim CPD points 7.5 for the Main Seminar and 3.5 for each Masterclass Stream.

Main Seminar

Held virtually over two afternoons.

Day 1: Monday, 17th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Day 2: Tuesday, 18th of May 2021, 13:30 – 17:00

- **Member Fee:** £199.00 +VAT
- **Non-Member Fee:** £249.00 +VAT

Pre-Seminar Masterclass

Held virtually over two afternoons.

STREAM A – Monday, 10th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Mapping your exposure against the new ML & financial crime risks – key learning points**
- 15:30 – 17:00: **Assessing and onboarding higher risks account transactions and cryptos**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

STREAM B – Tuesday, 11th of May 2021, 13:30 – 17:00

- 13:30 – 15:00: **Sanctions: UK/EU post Brexit, US post-Trump – addressing the new compliance challenges**
- 15:30 – 17:00: **Efficacy of sanctions screening and ISO 20022 implementation – best practices**
- **Member Fee:** £207.00 +VAT
- **Non-Member Fee:** £257.00 +VAT

Discount offers**

- Member fee applies for Academic, Government and Charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the conference and masterclass – receive a 10% discount

**Discount offers cannot be combined.

Registration

For more information and to book your place, please email Sydney and the team at events@amlpforum.com. Alternatively, you can browse our new AMLP website on: www.amlpforum.com.